Assessment of SARS-CoV-2 serological tests for the diagnosis of COVID-19 through the evaluation of three immunoassays: Two automated immunoassays (Euroimmun and Abbott) and one rapid lateral flow immunoassay (NG Biotech)

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1 # Title page

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Abstract

Background: The emergence of new SARS-CoV-2 has promoted the development of new serological tests that could be complementary to RT-PCR. Nevertheless, the assessment of clinical performances of available tests is urgently required as their use has just been initiated for diagnose.

Objectives: The aim of this study was to assess the performance of three immunoassays for the detection of SARS-CoV-2 antibodies.

Methods: Two automated immunoassays (Abbott SARS-CoV-2 CLIA IgG and Euroimmun Anti-SARS-CoV-2 ELISA IgG/IgA assays) and one lateral flow immunoassay (LFIA NG-Test® IgG-IgM COVID-19) were tested. 293 specimens were analyzed from patients with a positive RT-PCR response, from patients with symptoms consistent with COVID-19 but exhibiting a negative response to the RT-PCR detection test, and from control group specimens. Days since symptoms onset were collected from clinical information sheet associated with respiratory tract samples.

Results: Overall sensitivity for IgG was equivalent (around 80%) for CLIA, ELISA and LFIA. Sensitivity for IgG detection, >14 days after onset of symptoms, was 100.0% for all assays. Overall specificity for IgG was greater for CLIA and LFIA (more than 98%) compared to ELISA (95.8%). Specificity was significantly different between IgA ELISA (78.9%) and IgM LFIA (95.8%) ($p<0.05$). The best agreement was observed between CLIA and LFIA assays (97%; k=0.936).

Conclusion: Excellent sensitivity for IgG detection was obtained >14 days after onset of symptoms for all immunoassays. Specificity was also excellent for IgG CLIA and IgG LFIA. Our study shows that NG-Test® is reliable and accurate for routine use in clinical laboratories.

25 **Keywords:** SARS-CoV-2; COVID-19; performance; automated immunoassays; lateral flow

26 immunoassay

27

28

29 ## Background

30 A new acute respiratory syndrome named coronavirus disease 2019 (COVID-19) has emerged
31 from the region of Wuhan in China in December 2019. This infection, widespread all over the
32 world, is caused by a novel *Sarbecovirus* designated severe acute respiratory syndrome
33 coronavirus 2 (SARS-CoV-2), associated with severe morbidity and mortality [1–3]. The
34 detection of viral RNA by real time reverse transcriptase-Polymerase chain reaction (RT-
35 PCR) in respiratory tract samples is considered as the gold standard method for screening and
36 diagnosis in the early phase of infection. However, sensitivity is variable depending on
37 sample types, suitable sampling technique, the anatomic site, time of infection and viral load
38 [4–6]. Chest computed tomography (CT) may be helpful for the diagnosis, complementary to
39 RT-PCR, but it remains unspecific [7]. Development of new serological tests [8,9], readily
40 available and easier to perform compared to requirements of molecular assays in laboratories
41 [10], could be helpful as a complementary diagnostic tool and to increase the sensitivity of
42 tests especially in patients with late complications i.e. severe pneumonia. Different assays
43 have recently been commercialized: automated tests (enzyme-linked immunosorbent assays
44 [ELISA] or chemiluminescence enzyme immunoassays [CLIA]) or rapid detection test
45 (lateral flow immunoassays, LFIA). LFIA seems to be very attractive for large seroprevalence
46 studies because these tests can be used easily as point of care tests or in the laboratory, with a
47 result in less than 15 minutes. Serological tests can be used for symptomatic individuals for
48 which RT-PCR testing was either not performed at the time of acute illness or for which
49 nasopharyngeal swab result was found to be negative, and also for epidemiological studies
50 (close contacts screening, screening of health care workers …) [11,12]. However, the
51 relevance of serological tests is highly related to their clinical performance, hence antibody
52 (Ab) assays with good sensitivity and specificity are needed. Despite a growing number of

available assays, related clinical performances are still scarce [13–17] or unknown and individual studies are usually inconclusive. Moreover, the quality and diagnostic performance of rapid tests have already been questioned in Spain and United Kingdom [18,19].

Objectives

The aim of the study was to assess the clinical performance of CE marked assays available in Europe to detect SARS-CoV-2 antibodies: two automated immunoassays (Euroimmun and Abbott assays) targeting two different proteins and also one lateral flow immunoassay (NG Biotech).

Methods

Specimens

This retrospective study included 293 residual sera from patients with RT-PCR confirmed SARS-CoV-2 infection, patients with symptoms consistent with COVID-19 but with a negative RT-PCR result (clinical diagnosis of pneumonia of unknown etiology), and control individuals (presumed negative). These samples were collected in the virology laboratory of Angers University Hospital, France. Serum samples (n=141) obtained from 82 patients (median age: 67 years) with confirmed COVID-19 by RT-PCR, performed in our laboratory [20], were tested. 57 serum specimens obtained from 52 patients (median age: 64 years) with symptoms consistent with COVID-19, but with negative RT-PCR results were analyzed. Information about days since symptoms onset was determined by clinical information sheet associated with respiratory tract samples. 50 residual serum samples presumed negative collected before the emergence of SARS-CoV-2, in March 2019 and stored at -80°C were used as control specimens.

Then, 25 serum samples with a potential cross-reaction to the SARS-CoV-2 immunoassays were investigated (Table 1). Samples from 10 pregnant women and 10 sera from patients with positive rheumatoid factor (RF) were also tested.

The study was approved by the Institutional Board of the Angers University Hospital.

82 **Table 1.** Selected specimens potentially containing cross-reacting antibodies with SARS-
83 CoV-2.

Pathogen potentially cross-reactive with SARS-CoV-2	Number of specimens
Seasonal coronaviruses (HKU1, NL63, 229E, OC43)	2
Influenza A virus	3
Respiratory Syncitial Virus	3
Rhinovirus	3
Parainfluenzae virus	1
Acute EBV infection (positive for EBV VCA IgM and EBV VCA IgG)	7
Acute CMV infection (positive for CMV IgM)	1
M. pneumonia infection	2
Acute Hepatitis A infection	1
Acute hepatitis E infection	2

84

85 **Serological assays**

86 ***ELISA assay***

87 The Euroimmun Anti-SARS-CoV-2 ELISA IgG and IgA assays (Euroimmun, Lüebeck,
88 Germany) were performed according to the manufacturer's guidelines on the DS2® system,
89 an automated microplate technology (Dynex Technologies GmbH, Denkendorf, Germany).
90 The microplate wells are coated with recombinant S1 structural protein and the assay detects
91 anti-SARS-CoV-2 IgG and IgA against the viral spike protein (Sp).

92

93 ***CLIA assay***

94 The Abbott SARS-CoV-2 IgG (Abbott, Ireland) was performed according to the
95 manufacturer's instructions on the automated Abbott ARCHITECT *i*2000SR Instrument

The assay is a CLIA for qualitative detection of IgG antibodies against the SARS-CoV-2 nucleoprotein (Np) in serum or plasma.

Lateral flow test

NG-Test® IgG-IgM COVID-19 (NG Biotech Laboratoires, Guipry-Messac, France) is an immune colloidal technique intended for the qualitative detection of IgG and IgM antibodies against the SARS-CoV-2 nucleoprotein in serum or plasma. 10 µL of specimen, were added onto the sample loading area followed by 2 drops of sample dilution solution. The results were read and interpreted 15 min after testing.

Statistical analysis

All statistical analyses were performed using IBM® SPSS® 15.0 Statistics software (Statistical Package for Social Sciences, IBM Corp., Chicago, IL). To assess the sensitivity and specificity, we choose the RT-PCR method as gold standard. Time from onset symptoms was used to determine sensitivity and specificity. Grey zone was considered positive for the statistical analyses. A *p value* <0.05 was considered statistically significant. The Cohen's Kappa value was determined for agreement between assays.

Results

Sensitivities and specificities obtained with three immunoassays are summarized in Table 2. The sensitivity of IgG ELISA at ≤7 days of symptoms was 28.1%, at 8-14 days 72.4%, and >14 days was 100.0%. The sensitivity of IgG CLIA at ≤7 days of symptoms was 46.9%, at 8-14 days 69%, and was 100.0% >14 days. Sensitivity of IgG LFIA at ≤7 days of symptoms was 31.3%, at 8-14 days 69.0%, and was 100.0% >14 days. Overall sensitivity for IgG was equivalent (around 80%) for CLIA, ELISA and LFIA. Overall specificity for IgG was greater than 98% for CLIA and LFIA compared to ELISA (95.8%). Comparison of the sensitivity of IgA ELISA (59.4%) and IgM LFIA (43.8%), during the first seven days after onset of symptoms, was not significant ($p>0.05$). By contrast, specificity was significantly different between IgA ELISA and IgM LFIA ($p<0.05$).

Among the control samples and the group of patients with negative RT-PCR, 26 false positives were observed with IgA ELISA (17.3%): seven specimens from the cross-reactivity study; seven from pre-epidemic specimens (March 2019); two from pregnant women; four from patients with RF; six from patients with negative SARS-CoV-2 RT-PCR and symptoms of pneumonia/dyspnea without a chest CT argument for COVID-19 or seroconversion (median time between symptom onset and sera: 9.5 days). Fewer false positives were observed with IgM LFIA: three specimens from the cross-reactivity study; one from pre-epidemic sera; three from patients with negative RT-PCR result and symptoms of pneumonia/dyspnea without a chest CT argument (including two specimens from the same patient). Five false positives were observed with IgG ELISA (Figure 1): two pre-pandemic specimens, one sample from pregnant woman, one sample from a patient with RF and one with negative RT-PCR result (negative result with other assays). Only one false positive result was observed with IgG CLIA and corresponded to a pre-pandemic specimen (Figure 1).

138 Using IgG LFIA, three false positives were observed; two were from a patient (negative RT-
139 PCR) for whom the etiology of pneumonia was undetermined.

140 All patients with positive RT-PCR were positive for serological assays. Two discordant
141 results between serologic assays and molecular method were reported: two patients clinically
142 adjudicated as COVID-19 but with negative RT-PCR. These two samples were tested positive
143 for all immunoassays (Figure 1). To determine the specificity for IgG of the three assays, we
144 excluded two specimens positive for serological assays but negative for RT-PCR because the
145 symptoms were strongly compatible with the COVID-19 and RT-PCR was performed 17-24
146 days after symptom onset.

147 Among patients with RT-PCR confirmed SARS-CoV-2 infection, there were only two
148 individuals without COVID-19 symptoms, but with a notion of contact with infected patients.
149 Both SARS-CoV-2 RT-PCR and serological assays were positives for these patients.

150

151 **Table 2.** Sensitivities of immunoassays for SARS-CoV-2 according to the onset of COVID-

152 19 symptoms and specificities data. CI: confidence interval.

	Overall	**Time from the symptom onset**		
	% (CI 95%)	**0 to 7 days** **% (CI 95%)**	**8 to 14 days** **% (CI 95%)**	**15 or more days** **% (CI 95%)**
ELISA assay				
IgG or IgA	Se: 87.4 (81.0-91.9%) Sp: 82.0 (75.1-87.3%)	Se: 59.4 (42.3-74.5%)	Se: 82.8 (65.5-92.4%)	Se: 100.0 (95.5-100.0%)
IgG	Se: 78.3 (70.9-84.3%) Sp: 96.7 (92.4-98.6%	Se: 28.1 (42.3-74.5%)	Se: 72.4 (54.3-85.3%)	Se:100.0 (95.5-100.0%)
IgA	Se: 86.7 (80.2-91.3%) Sp: 82.7 (75.8-87.9%)	Se: 59.4 (15.6-45.4%)	Se: 79.3 (61.6-90.2%)	Se: 100.0 (95.5-100.0%)
CLIA assay				
IgG	Se: 81.8 (74.7-87.3%) Sp: 99.3 (96.3-99.9%)	Se: 46.9 (30.9-63.6%)	Se: 69.0 (50.8-82.7%)	Se: 100.0 (95.5-100.0%)
Lateral flow immunoassay				
IgG or IgM	Se: 81.8 (74.7-87.3%) Sp: 95.3 (90.7-97.7%)	Se: 43.8 (28.2-60.7%)	Se: 72.4 (54.3-85.3%)	Se: 100.0 (95.5-100.0%)
IgG	Se: 78.3 (70.9-84.3%) Sp: 98.0 (94.3-99.3%)	Se: 31.3 (18.0-48.6%)	Se: 69.0 (50.8-82.7%)	Se: 100.0 (95.5-100.0%)
IgM	Se: 81.8 (74.7-87.3%) Sp: 95.3 (90.7-97.7%)	Se: 43.8 (28.2-60.7%)	Se: 72.4 (54.3-85.3%)	Se: 100.0 (95.5-100.0%)

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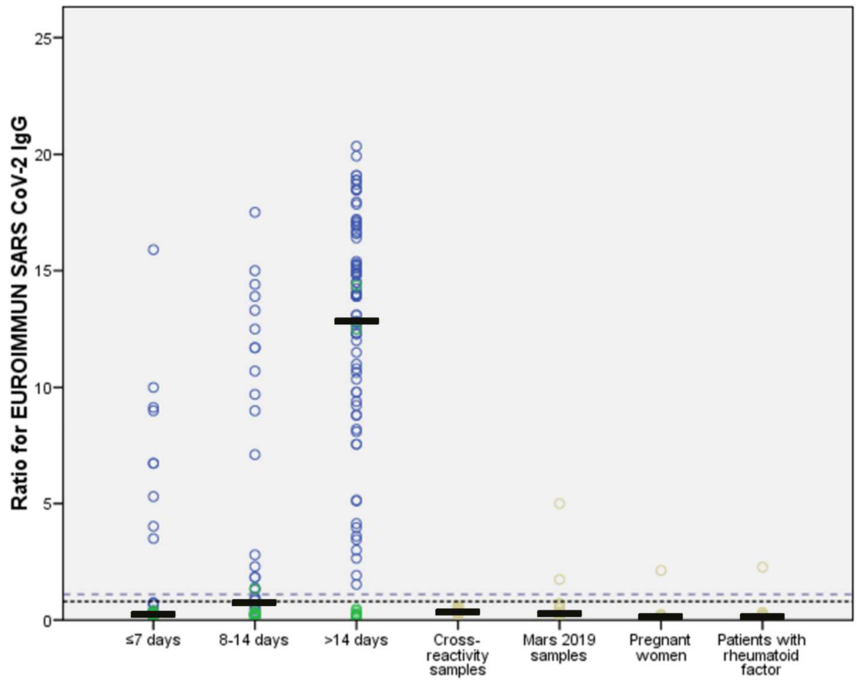

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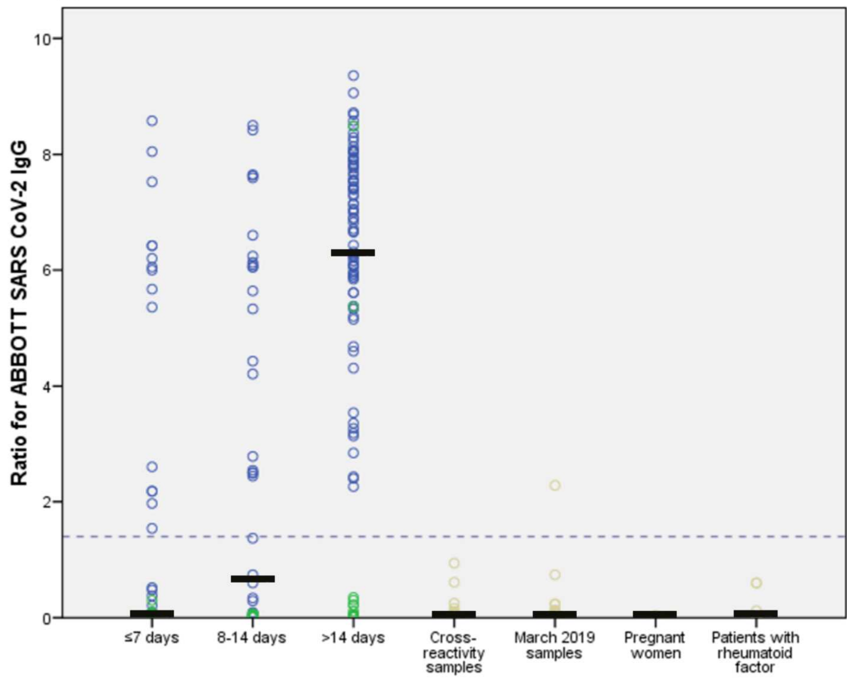

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Figure 1: Seropositivity of tested specimens with ELISA Euroimmun and CLIA Abbott assays. Seropositivity analysis in 95 presumed negatives control samples (cross-reactivity samples, march 2019 samples, pregnant women samples, patients with RF samples), 57 samples from 52 patients with RT-PCR negative relative to days from symptom onset (≤7 days; 8-14 days; >14 days) and 141 samples from 82 patients with RT-PCR positive relative to days from symptom onset. Blue circles correspond to sera from patients exhibiting a positive RT-PCR result. Green circles correspond to sera from patients with negative RT-PCR result. Ochre circles correspond to sera from individuals for whom RT-PCR detection has not been performed. The black line represents the median of ratio. (+): number of seropositive sera; (n): total number of specimens tested. **A)** Seropositivity with ELISA Euroimmun assay. Dashed grey line represents cutoff for positivity (ratio ≥1.1). Dotted purple line corresponds to cutoff for negativity (ratio <0.8). **B)** Seropositivity with CLIA Abbott assay. Dashed grey line represents cutoff for positivity (ratio ≥1.4).

Table 3 summarized overall agreement and agreement relative to the time of symptoms onset between three immunoassays. Overall, excellent agreement was observed between the three assays. The best agreement was observed between CLIA and LFIA (97%; Cohen kappa index of 0.936). Even for the first week of symptoms onset, an excellent agreement was observed between ELISA and LFIA assays (95%; k=0.810). However, poor agreement was observed between ELISA and CLIA (89%; k=0.687). Overall agreement between IgG/IgA ELISA and IgG/IgM LFIA was excellent (96%; k=0.914).

178 **Table 3.** Agreement between IgG serological assays.

		Euroimmun % (Kappa)	NG Biotech % (Kappa)
Overall	Abbott	96% (0.908)	97% (0.936)
	NG Biotech	96% (0.914)	
0 to 7 days	Abbott	89% (0.687)	91% (0.745)
	NG Biotech	95% (0.810)	
8 to 14 days	Abbott	95% (0.909)	98% (0.954)
	NG Biotech	93% (0.864)	
15 or more days	Abbott	100% (1.000)	99% (0.962)
	NG Biotech	99% (0.962)	

179

180 The IgA, IgM and IgG Ab kinetics were studied using specimens from seven patients
181 (positive RT-PCR) with serial results and interesting kinetics (Figure 2). Then, five patients
182 presented an earlier IgG seroconversion using CLIA compared to ELISA, the first week of
183 symptom onset. Among these patients, we observed in three patients an IgM line with LFIA
184 and IgA ELISA was positive for four patients.

185 Using LFIA, results were more easily interpretable for IgG line than for IgM line. IgM line
186 was difficult for reading, notably for seven sera.

187

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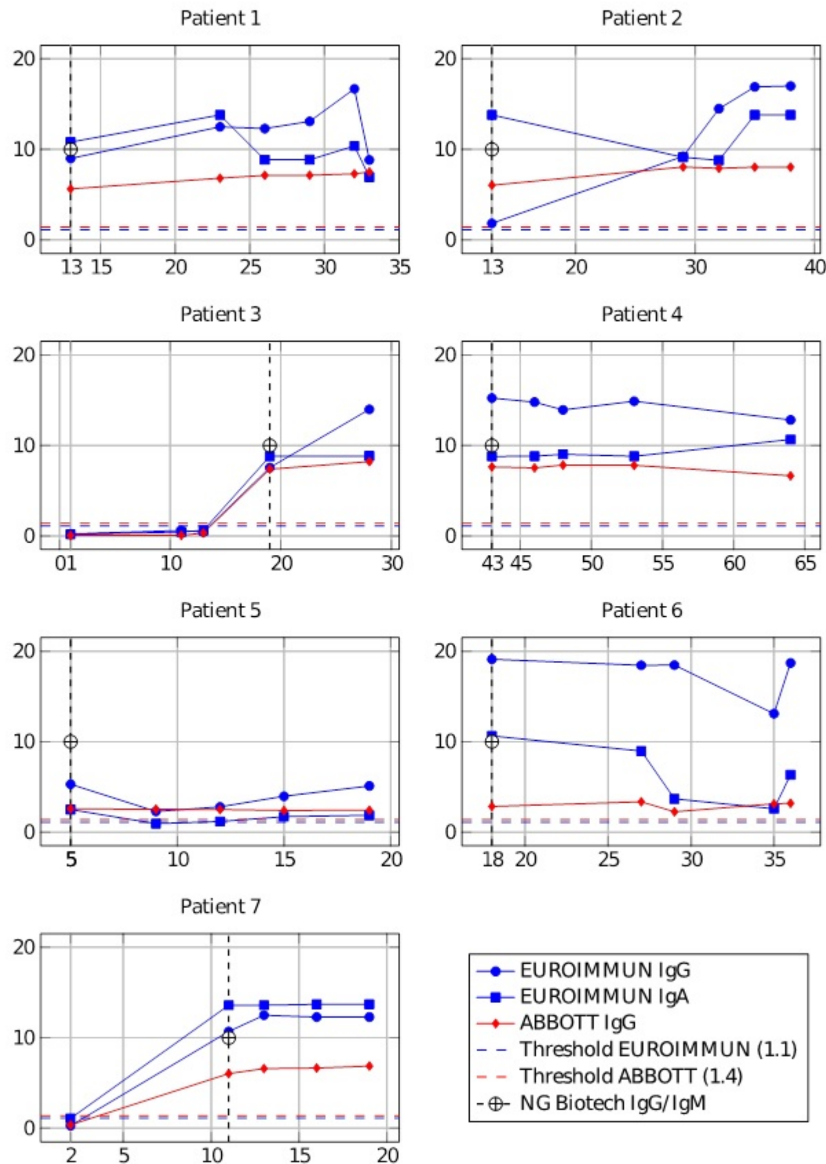

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190 **Figure 2: Anti-SARS-CoV-2 antibodies seroconversion profiles for seven individuals**. X-
191 axis: time from symptoms onset. Y-axis: interpretation ratio of two semi-quantitative
192 immunoassays. Dotted black line represents the day of positivity of LFIA NG-Test® IgG-IgM
193 COVID-19. The cutoff for positivity with ELISA Euroimmun assay is ≥1.1 (dotted blue line)
194 and the cutoff for positivity with CLIA Abbott assay is ≥1.4 (dotted red line). Patients 1, 2, 4
195 and 6 developed a prolonged immune response one month after symptoms onset and up 64
196 days for patient 4. Patients 1, 2 and 7 had early seroconversion in the second week after
197 symptoms onset and patient 5 had already seroconversion in the first week. Patient 3 had a

198 seroconversion in the third week after symptoms onset. Patient 5 produced fewer antibodies

199 compared to other patients and notably IgA production is close to the threshold of positivity.

200

Discussion

A strong clinical performance of assays in diagnosis and management of COVID-19 is essential to quickly contain the COVID outbreak worldwide. Therefore, the development of serological assays, routinely used in clinical laboratories to determine recent infection or previous contact with viruses, is a good option complementary to RT-PCR method [21]. On May, 2020, the French Health Authority (Haute Autorité de Santé) and Infectious Diseases Society of America recommended that patients with symptoms consistent with COVID-19 but having a positive result for SARS-CoV-2 by RT-PCR may be diagnosed by serological tests [22,23]. Various immunoassays are available on the European market [24,25] and subjected to European regulations with the mandatory CE marked for sales. Nevertheless, the European Commission, in its April 2020 recommendations, allowed exceptionally the marketing of tests that do not have the CE marked, in the interest of public health [22].

Here, we evaluated three different CE marked commercial immunoassays for detection of SARS-CoV-2 antibodies in human serum and plasma. ELISA assay was performed on a semi-automated microplate technology requiring high handling and with a limited capacity of tests per day (90 tests per 4h). In contrast, CLIA assay is a fully automated random-access test and that can perform over 4,000 tests per 24h. These two assays are used in clinical laboratories, unlike LFIA, which can be used as a point of care test or in clinical laboratories and provides a result within 15 min.

Performance of Euroimmun assay has been evaluated in some studies [13,14,26–29], showing sensitivity for IgG between 85% and 95% >14 days after symptoms onset and specificity between 95 and 100%. Few studies reported clinical performance of Abbott assay [14,16,26,28]. Sensitivity for IgG was between 94% and 100% more than 14 days post symptom onset and specificity between 99 and 100%. In our study, we showed a sensitivity

for IgG of 100% for CLIA Abbott and ELISA Euroimmun assays >14 days after symptoms onset and an overall specificity for IgG of 78.3% and 81.8% with ELISA and CLIA respectively. We carried out a large cross-reactivity study and more false positives results were observed using ELISA than CLIA as previously described [14].

Recently, many CE marked LFIA became available. Two studies showed that sensitivity and specificity were similar to those of Euroimmun assay [13,29].However, to our knowledge, only one study compared clinical performance between CLIA Abbott and LFIA [30] and no study described diagnostic performance of NG-Test®. Here, we observed an excellent agreement for IgG between CLIA and LFIA 15 days after onset symptoms (k=0.810), and an excellent sensitivity and specificity for both assays. LFIA advantages are the ability to reach larger population groups, when used in point-of-care, and to evaluate the herd immunity without saturating the capacity of laboratories. However, these devices must be used with caution. Trained staff or automated reader devices are needed for good interpretation of result. Traceability of results may be at fault in case of use at the point-of-care and results may not be reported to the health authorities for seroprevalence studies.

To evaluate sensitivity, some manufacturers or authors used the time from positive RT-PCR rather than the time from symptom onset. However, there is a risk of misestimating sensitivity as some patients presented late after the onset of symptoms with disease progression at time of the first PCR testing. Then, sensitivity and specificity must be interpreted with caution. The use of RT-PCR as gold standard may decrease the real number of patients infected by SARS-CoV-2 due to false negative results.

In our study, we observed false positive results with IgA ELISA and few with IgM LFIA. No false positive with IgM LFIA were observed with for RF specimens whereas interferences were described with some other immunoassays [31]. Elslande et al pointed out that the ELISA IgA should not be used for the screening of asymptomatic persons. It might be better not to

250 measure IgM or IgA since it may result in a significant number of false-positive results
251 without improving diagnostic performance. [29]. It would appear here that IgM detection with
252 the LFIA provides a gain in diagnostic performance.

253 Developed immunoassays target either the Sp or the Np of SARS-CoV-2 [32], involving
254 different immune Ab responses. However, related studies are controversial. Some studies
255 described that early antibody response was targeted against Np and then Sp inducing an
256 earlier positivity of the tests targeting Np [14,33]. By contrast, another study revealed that the
257 Sp-based ELISA was more sensitive than the Np-based one in the detection of IgM [34].
258 Here, we did not observe any significant difference between sensitivity of IgA ELISA and
259 IgM LFIA which detect two different targets. Positive results with serological tests do not
260 indicate the presence of neutralizing antibodies, i.e. possible protective immunity to SARS-
261 CoV-2 [35], but are only indicative of a contact with SARS-CoV-2
262 One of the strong points of our study is the use of large number of samples for cross
263 reactivities study with other pathogens and other causes of false positive results ie. pregnancy
264 and individuals with RF. Among symptomatic patients with positive RT-PCR, most of
265 patients were elderly with a potential risk of low immune response.
266 Some limitations of the study are the subjectivity in the perception of symptoms by patients,
267 in particular for elderly patient. Our study included few patients with asymptomatic infections
268 and positive RT-PCR because specific indications of tests in France were, at the time of the
269 study, mainly limited to patients who were symptomatic, due in particular to the shortage of
270 tests.

271 In conclusion, our study showed equivalent clinical performance for IgG of three
272 immunoassays (ELISA, CLIA and LFIA) >14 days after symptoms onset. The three assays
273 had, as expected, a poor sensitivity during first days of symptom onset. Therefore, serological

tests can be useful to confirm past COVID-19, to do epidemiologic studies 15 days after symptoms onset [36] or to identify people who could return to the workplace, even if its use is still widely discussed [37] . For asymptomatic patients with RT-PCR negative, a higher threshold must be used [16]. A lower threshold (8-14 days) should be used for symptomatic patients >7 days with negative RT-PCR and clinical presentation consistent with COVID-19. Currently, it is not clear whether IgG antibodies are protective against reinfection [38]. Finally, even if the LFIA is reliable on serum or plasma, studies should be conducted to evaluate the performance on fingerstick; a process commonly used for seroprevalence studies.

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