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Reactivity and Mechanistic Issues in the Photocyclisation of Dihalostyryl-Naphthalenes towards Halo-[4]helicenes: a Transposition on a Mallory Theme

Kévin Martin, Caroline Melan, Thomas Cauchy* and Narcis Avarvari*

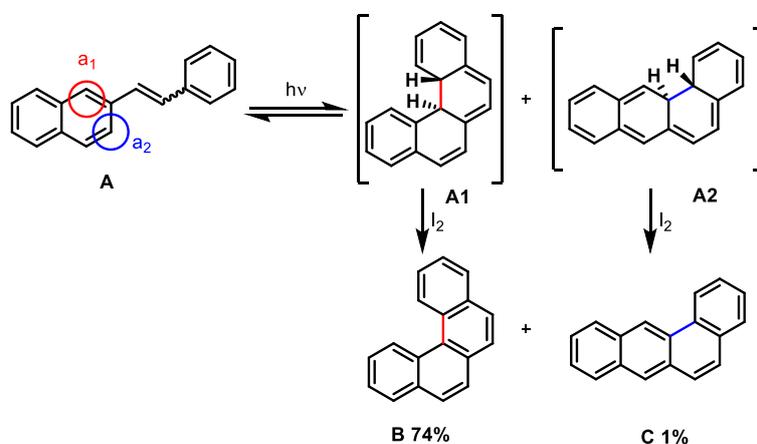
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Abstract

One of the most straightforward strategies nowadays for the synthesis of carbo- and hetero-helicenes is the oxidative photocyclisation of stilbene derivatives in the Mallory conditions. In this study, the reactivity of a series of 3,4-dihalostyryl-naphthalenes (Hal = Br, F and Cl) has been investigated in the Mallory conditions towards the corresponding halogenated [4]helicene compounds. The difluoro precursor afforded the two isomeric 2,3- and 1,2-difluoro-[4]helicenes, resulting from the ring closure on the two possible positions of the substituted benzene ring, the dichloro compound led to the formation of a mixture of the two isomeric 2,3 and 1,2-dichloro-[4]helicenes together with the 2-mono-chloro derivative, while the dibromo precursor provided, unexpectedly, only 2,3-dibromo-[4]helicene and the 2-mono-bromo derivative. DFT calculations performed on the entire series of precursors, final helicenes and intermediate dihydrohelicenes, including the non-halogenated congeners, reveal the highly energetically favorable formation of a second dihydrohelicene intermediate following a [1,9]-hydrogen sigmatropic transposition. Whereas the existence of this transposed dihydrohelicene intermediate, more stable than the initially formed dihydrohelicene by fifteen to thirty kcal/mol, was hitherto “hidden” in the non-substituted series, it allows here to explain its re-aromatization through formal elimination of HX in order to provide the 2-mono-halogenated-[4]helicene derivatives.

Introduction

The oxidative photocyclisation of stilbenes into phenanthrenes ^[1] has been thoroughly investigated by Mallory who described its catalytical activation by iodine,^[2,3,4] while latter on Katz *et al.* proposed improved conditions in order to reduce the number of side-products.^[5] This methodology has been successfully transposed to the synthesis of [n]helicenes,^[6,7] which are polyaromatic hydrocarbons with peculiar helical shape resulting from the *ortho*-condensation of at least four benzene rings.^[8,9] Their inherent helical chirality confers to helicenes exceptional chiroptical properties, such as huge optical rotations and strong circular dichroism activity,^[10,11] making them valuable precursors for molecular and supramolecular materials.^[12,13,14] The smallest member of the [n]helicene series is [4]helicene, whose synthesis involves the oxidative photocyclisation of 2-styryl-naphthalene **A** which can be used as a mixture of *cis* and *trans* isomers, the latter providing the former under irradiation (Scheme 1). As Mallory pointed out, both possible cyclized dihydro intermediates **A1** and **A2** are formed, yet the benzo-phenanthrene **A1**, resulting from cyclisation in position a_1 , benefits of aromatic resonance stabilization of one benzene ring, which is absent in the anthracene intermediate **A2**, formed upon ring closure in position a_2 .^[4] Consequently, the reversed ring opening of **A2** back to the corresponding *cis*-stilbene is much more favored than that of **A1**.

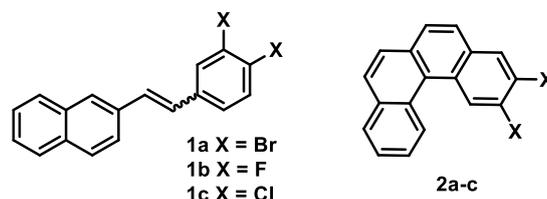


Scheme 1. Reactivity of the positions a_1 and a_2 for the [4]helicene formation under the Mallory conditions.

If the amount of iodine is large enough then the final benzo-anthracene compound **C** can be isolated as by-product (1%), while with lower iodine concentrations its detection becomes more problematic and in general only the [4]helicene compound **B** is isolated, thus allowing a very high regioselectivity for this reaction. The steric hindrance provided by the presence of substituents on the benzene ring does not seem to play an important role on the regioselectivity of the photocyclization of stilbenes or 2-styryl-naphthalenes, suggesting that the transition state

occurs early along the reaction coordinate when the geometry closely relates to that of the uncrowded excited state stilbene.^[4] This issue was especially investigated in the case of stilbenes, but also 2-styryl-naphthalenes, containing a CH₃, Cl or CF₃ substituent in the *meta* position of the benzene ring, where practically equimolar mixtures of the two regioisomers have been obtained.^[15] Intriguingly, the case of the bromine substituent in the *meta* position in a series of stilbenes has been only mentioned in a few reports describing the preparation of the corresponding phenanthrenes with the Br substituent in position 2, without any evidence for the formation of the other possible isomer with Br in position 4, which is one of the two inner positions in phenanthrenes.^[16,17,18] The authors thus concluded that the ring closure reaction was highly regioselective, in spite of the yields observed for the various 2-Br-phenanthrenes reaching up to a maximum of 50%. When the Br substituent is located in the *para* position, no regioselectivity issues occur.^[19]

In the course of our own investigations on the synthesis and reactivity of bromo-[4]helicenes,^[20,21,22] we have performed the oxidative photocyclisation of 3,4-dibromostyryl-naphthalene **1a** in the Mallory conditions to obtain 2,3-dibromo-[4]helicene **2a**, which initially turned out to be the only isolated [4]helicene (Scheme 2).^[23]



Scheme 2. 3,4-dihalostyryl-naphthalene and corresponding 2,3-dihalo[4]helicene.

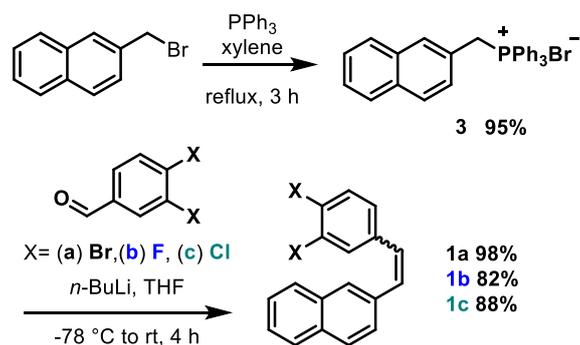
Puzzled by this apparent high regioselectivity of the cyclisation we have decided to shed light on its origin. We present herein our systematic combined experimental and theoretical study on the photocyclisation of 3,4-dihalostyryl-naphthalenes **1a-c** (X = Br, F, Cl) towards corresponding halo-[4]helicenes, evidencing a halogen depending HX elimination reaction as a consequence of a hitherto unrevealed hydrogen sigmatropic [1,9]-transposition.

Results and discussion

Reactivity of dihalostyryl-naphthalenes in oxidative photocyclisation conditions

In a first instance, we have prepared the dibromostyryl-naphthalene **1a** (Scheme 3) by the two-step pathway procedure previously described by us.^[23] The first step corresponds to the preparation of the phosphonium salt **3** starting from 2-bromomethyl naphthalene and

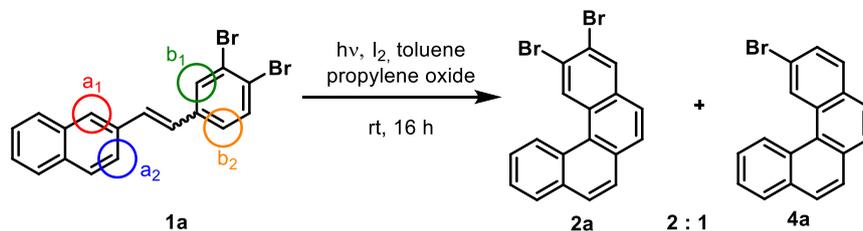
triphenylphosphine. The subsequent step is a Wittig reaction between **3** and 3,4-dibromo-benzaldehyde, which afforded the corresponding stilbene **1a** as mixture of *cis/trans* isomers.



Scheme 3. Preparation of dihalostyryl-naphthalene **1a-c**.

Single crystals of compound **1a**, suitable for X-ray diffraction, were obtained by recrystallization in a mixture of pentane/dichloromethane (9/1) (Table S1). Analysis of the structure shows that the *trans* isomer crystallises in the centrosymmetric space group $P2_1/c$ from the monoclinic system, with half independent molecule in the asymmetric unit, containing the naphthyl and dibromo-benzene groups nested in each other, being equally disordered over two positions (Figure S1 and Table S2). The molecule is perfectly planar and because of the statistical disorder it is not straightforward to disclose short intermolecular distances in the packing (Figure S2). In our initial report dedicated to the synthesis and properties of the first tetrathiafulvalene-helicene (TTF-Hel) derivatives as precursors for chiral electroactive materials,^[14,24] we have described the oxidative photocyclisation of **1a** as providing exclusively the 2,3-dibromo-[4]helicene **2a** (Scheme 4),^[23] in agreement with similar regioselectivity observed for other *meta*-substituted bromo-stilbenes.^[16,17,18] The dibromo-helicene **2a** was subsequently used in a bis-Stille coupling reaction to provide a protected helicene-bis(thiolate). However, a closer inspection now of the reaction products of the Mallory reaction on **1a** reveals that, besides **2a**, the monobromo derivative **4a** is also formed in a ratio **2a:4a** of ~ 2:1 (Scheme 4). For comparison purposes, **4a** has been deliberately synthesized starting from a mixture of the monobromo stilbenes (*E*)- and (*Z*)-1-(*p*-bromophenyl)-2-(2-naphthyl)ethylene (see the Experimental Section). In fact, in stilbene **1a**, the positions a_1 et a_2 on the naphthalene part and the positions b_1 and b_2 on the phenyl ring can, in principle, react in a six electron photocyclisation reaction. Thereby, in theory, four products can be formed, but in our case only **2a** and **4a** were observed, resulting from the ring closure in position a_1 on naphthalene and b_2 and b_1 , respectively, on phenyl. Moreover, cyclisation in b_1 is accompanied, unexpectedly, by the elimination of the bromine substituent in position 1, thus preventing the isolation of 1,2-

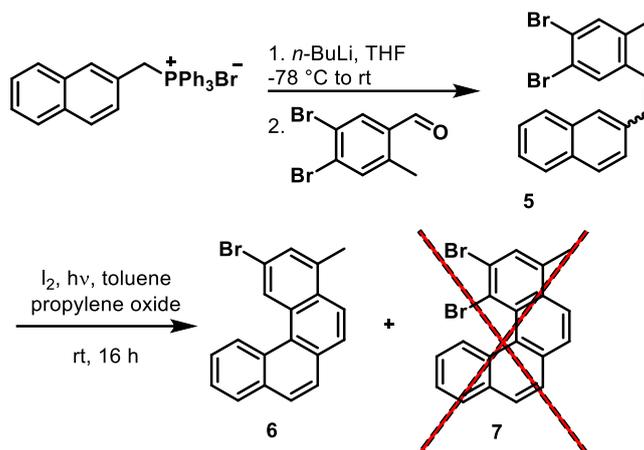
dibromo-[4]helicene, which was never observed in the actual conditions. Note that the formation of both helicenes is triggered by the aromatization of the dihydro intermediates, in the case of **2a** two hydrogen atoms being eliminated, while in the case of **4a** a HBr molecule being formally removed.



Scheme 4. Possible cyclisation sites and isolated products in the case of **1a**.

At a first glance, it would be logical to think that the most favourable position for cyclisation is a_2 due to the minimisation of the steric hindrance in the final product. However, in practice, the helicene, resulting from cyclisation in a_1 , is formed exclusively or in a large excess, as clearly established by Mallory in the case of photocyclisation of stilbenes and styryl-naphthalenes towards phenanthrenes and [4]helicenes, respectively.^[4] Accordingly, in the experimental conditions we have used, the anthracene compound was not formed. Moreover, as pointed out above, the formation of 1,2-dibromo-[4]helicene did not take place, although the formation of **4a** can only be explained by cyclisation in position b_1 , which would afford the former as “normal” Mallory compound, yet formal elimination of HBr takes place to provide **4a**.

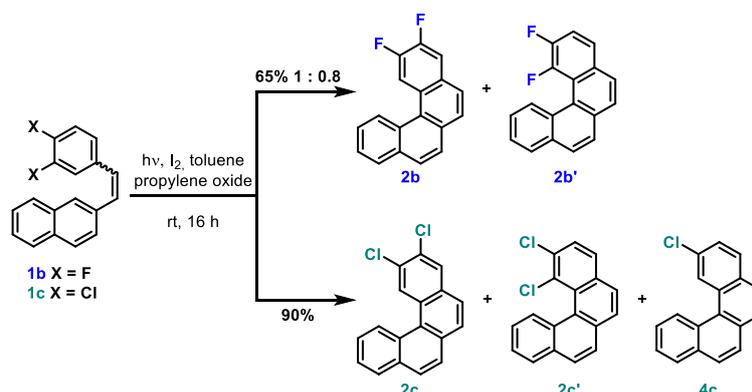
In order to verify this assumption, we decided to “force” the cyclisation in position b_1 by blocking the position b_2 with a methyl group (Scheme 5). Thus, instead of using 3,4-dibromobenzaldehyde, we have used 4,5-dibromo-2-methylbenzaldehyde for the Wittig reaction to obtain the dibromo-methyl-styryl-naphthalene **5**. While the yield of the subsequent photocyclisation was globally low, the only isolated helicene was the monobromo derivative **6**, with no evidence of the dibromo compound **7**.



Scheme 5. Blocking the position b_2 in the stilbene precursor **5**.

Once again, the formation of **6** should involve cyclisation in positions a_1 and b_1 followed by formal elimination of HBr.

Hypothesizing that this unprecedented elimination of HX should be halogen dependent, we have decided to extend the scope of the reaction towards difluoro and dichloro derivatives **1b** and **1c**, since the Mallory reaction is normally compatible with fluoro and chloro substituents.^[1,4,25] Accordingly, starting from the phosphonium salt **3** and using 2,3-difluoro and 2,3-dichlorobenzaldehyde we have obtained the two stilbenes **1b** and **1c** as a mixture of *Z* and *E* isomers (Scheme 3). Subsequent photocyclisation of **1b** and **1c** provided strikingly different results compared to **1a** (Scheme 6).



Scheme 6. Photocyclisation of difluoro- and dichloro-styryl-naphthalenes **1b** and **1c**.

In the case of the fluoro compound, mass spectrometry analysis of the crude reaction products showed only one peak at $m/z = 264$ corresponding to the bis-fluorinated compound, meaning that there is no release of fluorine during the cyclisation process. In the ^{19}F NMR spectra two sets of doublets corresponding to two difluoro compounds with fluorine-fluorine couplings are

observed. Using COSY ^1H NMR experiments we were able to attribute the different proton signals and in addition the ratio between the two molecules (see the SI). Thereby, 2,3-difluoro-[4]helicene **2b** formed together with 1,2-difluoro-[4]helicene **2b'** in a 1:0.8 ratio. Despite the quasi-similar polarity of the two isomers, we have been able to separate them on preparative thin layer chromatography (TLC) and thus to confirm the ratio between the two molecules.

In the case of the chloro compound, mass spectrometry showed two peaks at $m/z = 260$ and 296 , suggesting the presence of dichloro and monochloro [4]helicenes. ^1H NMR measurements confirmed the presence of three compounds, one easily identified as 2-chloro-[4]helicene **4c**, which was already described in the literature.^[26] The two other compounds are the isomeric 1,2- and 2,3-dichloro-[4]helicene **2c** and **2c'**, respectively. Unfortunately, we have not been able to separate the mixture of these three compounds and the complexity of the ^1H NMR did not allow us to accurately determine the ratio between them. However, according to the previous results, we can suppose that 1,2-dichloro-[4]helicene **2c'** and 2-chloro-[4]helicene **4c** together, both resulting from ring closure in position b_1 , represent maximum 50% and 2,3-dichloro-[4]helicene **2c** minimum 50% of the helicene products.

In summary, in this halogen series, the difluoro stilbene **1b** leads to the formation of the normally expected Mallory difluoro-helicenes with only a very slight selectivity in favour of the 2,3-substituted compound, no fluorine release being observed. Thus, it seems that the steric hindrance does not play a significant role here. On the contrary, the photocyclisation of the dibromo precursor **1a** affords, beside the expected 2,3-dibromo derivative **2a**, the monobromo-helicene **4a**, as a result of cyclisation in position b_1 and formal elimination of HBr instead of H_2 . In fact, in the Mallory mechanism, the two hydrogen atoms are removed as HI upon the action of I_2 , radical species being involved. A mixed situation is observed in the case of the dichloro precursors **1c**, here the formation of the normal Mallory 2,3-dichloro-helicene **2c** and 1,2-dichloro-helicene **2c'** being in competition with the HCl formal elimination providing **4c**. At a first sight, it appears that the observed distribution of the reaction products in the three cases is dictated by the C–X bond homolysis value,^[27] also linked to the nucleofuge ability of the three halogens. However, it is clear that the elimination of HX cannot occur from the initially formed dihalo-dihydro-[4]helicene intermediate of **A1** type (Scheme 1), but some rearrangement has to occur. In order to shed light on a plausible mechanism explaining the observed reactivity of precursors **1a-c** we have performed DFT calculations on the whole series of halogenated styryl-naphthalene precursors, dihydrohelicene intermediates and final helicenes. For comparison purposes the same calculations have been conducted on the unsubstituted 2-styryl-naphthalene **1**.

Theoretical study

A theoretical approach has been set to understand the differences in reactivity among the different compounds, the ultimate objective being the rationale of the release of a bromine atom to provide the 2-bromo-[4]helicene **4a** while the photocyclisation should afford 1,2-dibromo-[4]helicene. Theoretical studies concerning the photochemical synthesis of helicenes are scarce. For example, a frontier molecular orbital (FMO) and condensed Fukui functions study based on DFT calculations was reported by Caronna *et al.* for the synthesis of monoaza[5]helicenes.^[28] More reports exist on the photochemical synthesis of phenanthrene, among which worth noting are recent experimental and theoretical investigations on the photoisomerization and photocyclization mechanisms.^[29,30,31]

For our study, to describe the photocyclization mechanism, several structures (minima and some transition states) have been computed for the difluoro, dichloro, dibromo and also unsubstituted 2-styryl-naphthalene compounds, the latter serving as a reference model (Figures S3-S83 and Tables S3-S43). The proposed reaction mechanism, which seems the most likely, can be described using four minima. Figure 1 shows the optimized geometries for those four states of the unsubstituted 2-styryl-naphthalene compound, starting with the *cis*-stilbene **1**, followed by the cyclized non aromatic form dihydrohelicene (denoted **DH** for the whole series), the intermediate resulting after a [1,9]-H sigmatropic transposition (denoted **DHS**) and then ending with the [4]helicene **2** (see Scheme 1).

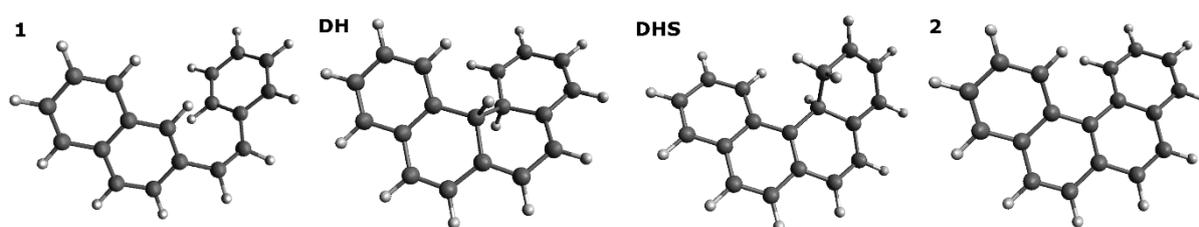


Figure 1. Selected topologies to represent the photocyclization reaction. From left to right, *cis*-stilbene (**1**), *trans*-dihydrohelicene (**DH**), [1,9]-H sigmatropic rearrangement product (**DHS**) and [4]helicene (**2**).

We have started by computing the ground states of the *cis*-stilbene precursors. Their geometries have been optimized with a hybrid functional (PBE0) and an augmented triple zeta basis set (see Computational details). Since the photocyclization is an electrocyclic reaction under photochemical conditions, its mechanism should be described by considering orbital symmetry reasoning based on the LUMO of the ground state within the Woodward-Hoffmann rules

framework.^[32,33] It is important to note here that we have also calculated the Fukui functions and that their description of the nucleophilic and electrophilic regions did not bring any more information than the study of the FMO. In a similar approach, it would have been possible to use the Wiberg binding indices of the first singlet state.^[34] Accordingly, the calculated LUMO within the *cis*-stilbene series H (**1**), Br (**1a**), F (**1b**) and Cl (**1c**) are represented in Figure 2, by keeping the orientation of the molecules from Figure 1. We can firstly note that in all systems, the ground state geometries present a dihedral angle (around 35°) between the naphthalene and benzene moieties. It allows for a better overlap between the two carbon atoms involved in the a_1 pathway cyclization. Secondly, the coefficients for the a_2 position (highlighted in Figure 2), that could generate the benzanthracene isomers (Schemes 1 and 4), are clearly negligible compared to those in position a_1 . Therefore, for our systems, the photocyclization leads exclusively to helicenes. We can also note that the substitution with halogen atoms in positions 3,4 of the benzene ring do not modify the overall topology of the LUMO. For all di-halogenated compounds the coefficients on the b_1 and b_2 positions are quite similar (see the SI), suggesting that cyclization on both positions should occur in photochemical conditions (Scheme 4). We could add here that the HOMO's correspond to antibonding interactions between the carbons involved in the cyclization reaction (see full molecular reports in the SI).

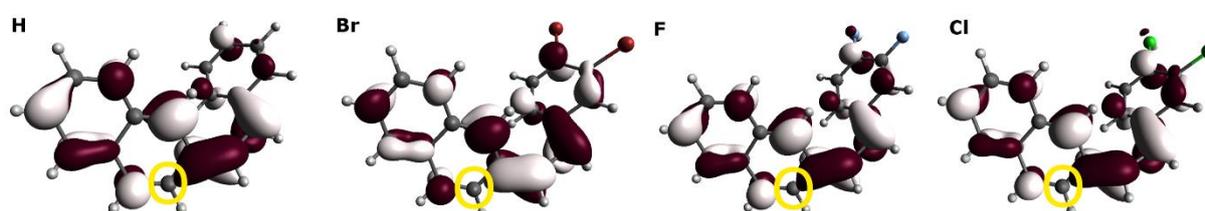


Figure 2. LUMO representation for the calculated *cis*-stilbenes **1** and **1a-1c** from left to right. The a_2 position is highlighted by the golden circle.

As mentioned above, the calculated stilbenes correspond to the optimal *cis* forms for the photocyclization reaction. The UV-visible lamp used has an emission range between 250 and 577 nm. The UV-visible absorption properties of dibromo derivative *cis*-**1a** have been simulated by TD-DFT calculations (see Computational details). Figure 3 shows the theoretical UV-visible absorption spectrum along with the electron density difference between the first excited state and the ground state, corresponding to an absorption band around 350 nm. This first excitation characterizes the HOMO → LUMO transition and the electron density difference map confirms the involvement of the corresponding carbons for the photocyclization and adds the information that upon excitation the a_1 position is electron deficient.

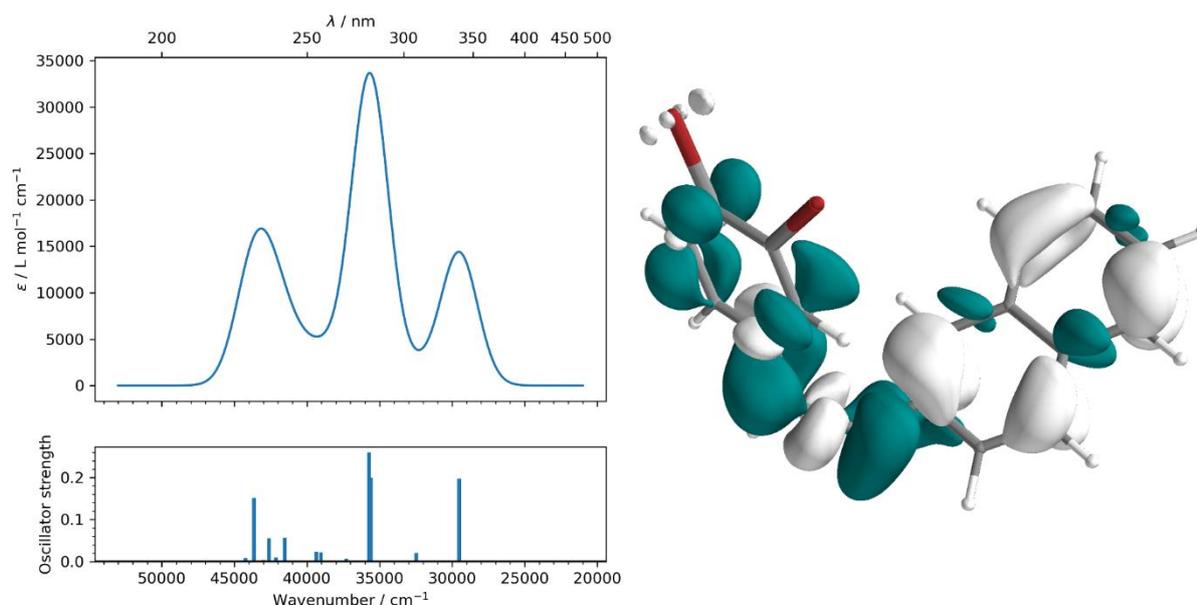


Figure 3. Simulated absorption spectra of compound **1a** and oscillator strengths of the vertical transitions (left). Electronic density difference calculated for the first transition (right). The blue surface represents the excited electron and the white surface the hole (density decrease upon excitation).

The geometry and the FMOs of the ground state indicate a cyclization that leads to a *trans* configuration of the dihydrohelicene hydrogens (see Figure 1) upon a conrotatory ring closure. The Gibbs free energies of the calculated minima are reported in Table 1. The profile of this reaction is similar whatever the substitution (hydrogen, bromine, chlorine or fluorine). All stilbenes have an energy 12 kcal/mol higher than the 2,3-disubstituted helicenes. The absorption band around 350 nm corresponds to an energy of the order of 80 kcal/mol, which is much higher than the energy of the transition state corresponding to the cyclisation of *cis*-stilbene to dihydrophenanthrene.³⁵ The reaction intermediate, *trans*-dihydrohelicene DH, is therefore clearly reachable.

Table 1. Gibbs free energies differences in kcal/mol along the photocyclization reactions. The energy reference is set to the 2,3-dihalogenohelicene or the energy of the carbohelicene for the model compound.

compound	Stilbene (1)	<i>trans</i> -dihydrohelicene (DH)	<i>supra</i> / <i>antara</i> [1,9] sigmatropy (DHS)	Helicene (+H ₂ / +HX)
Carbo	12	44	23	0
1,2-dibromo	12	47	30 / 18	10 / -20
2,3-dibromo	12	43	20	0
1,2-dichloro	12	47	31 / 21	9 / -20

2,3-dichloro	12	43	21	0
1,2-difluoro	12	46	31 / 23	4 / -23
2,3-difluoro	12	43	23	0

Here is where, i.e. evolution of the intermediate *trans*-dihydrohelicenes (DH), the mechanism diverges from the phenanthrenes studies. If one considers a direct elimination of hydrogen to helicenes, one cannot explain the formation of the monobrominated compounds **4a** (Scheme 4) and **6** (Scheme 5). As can be observed in Table 1, that there is no major difference in the energy profiles between the 1,2 or 2,3 di-substituted compounds. The reaction must therefore involve a step that particularly affects position 1. Looking at the frontier molecular orbitals of the dihydrohelicenes reaction intermediate (Figure 4), one can see that the hydrogen atoms of the sp^3 carbons do indeed have coefficients in the HOMO and the LUMO. Considering a thermal process, the red lobe of the proton in the HOMO can overlap with the large red lobe on position 1. This corresponds to a thermally allowed [1,9]-H sigmatropic rearrangement with a suprafacial shift. A mechanism involving a tandem photocyclization/[1,9]-H sigmatropic rearrangement has been recently proposed for the photochemical transformation of diarylethenes containing five-membered heterocyclic rings.^[36] Note that since we are under irradiation, an [1,9]-H *antara* transposition cannot be discarded. The LUMO topology allows for such transposition as well.

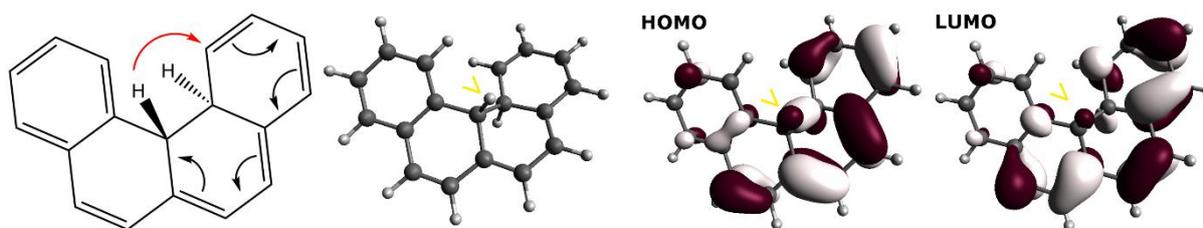


Figure 4. Proposed [1,9]-H sigmatropic rearrangement, structure of the *trans*-dihydrohelicene (DH) along with the HOMO and LUMO representation.

The energy stabilization associated with the transposition is rather important, reaching up between fifteen to thirty kcal/mol (see Table 1). We thus hypothesize that prior to any rearomatization reaction through HI formation thanks to the presence of I_2 , the initial *trans*-dihydrohelicenes **DH** systematically evolve towards the more stable sigmatropically transposed intermediates **DHS** (Figure 4). Consequently, in the case of the 1,2 disubstituted compounds, this rearrangement provides intermediate **DHS** allowing for a competition between the elimination of either H_2 (actually HI in the presence of I_2) or HX to afford the final helicenes.

If we reason on the basis of the Gibbs free energies of the products, the elimination of HX is always favored over that of H₂ whatever the halogen (Table 1). The experimentally observed difference between the three halogens must come from the energy barrier associated with this elimination. The frontier molecular orbitals of the 1,2-dibromo compound **DHS** after either the *suprafacial* or *antarafacial* sigmatropic rearrangement (Figure 5) show coefficients on the hydrogen and on the σ^* of the C–Br bond both in the HOMO and LUMO favorable to either a *syn* or an *anti* elimination. Indeed, the elimination of HX (X = Cl, F) in *syn* without the intervention of an oxidizing agent has been studied experimentally and theoretically in the case of simple Cl and F substituted ethane derivatives and would present energy barriers of the order of 60 kcal/mol for HCl and HF elimination.^[37,38] However, in our case, the presence of I₂ in the reaction mixture very likely promotes the elimination of HI and IBr. In the case of the unsubstituted or the 2,3-disubstituted compounds the final helicene compounds are the same regardless whether the elimination of two molecules of HI occurs from the initially formed **DH** or the transposed forms **DHS**. However, the greater stability of the latter than the former points out towards the systematic formation of **DHS** intermediates which then afford the final helicenes upon HI elimination.

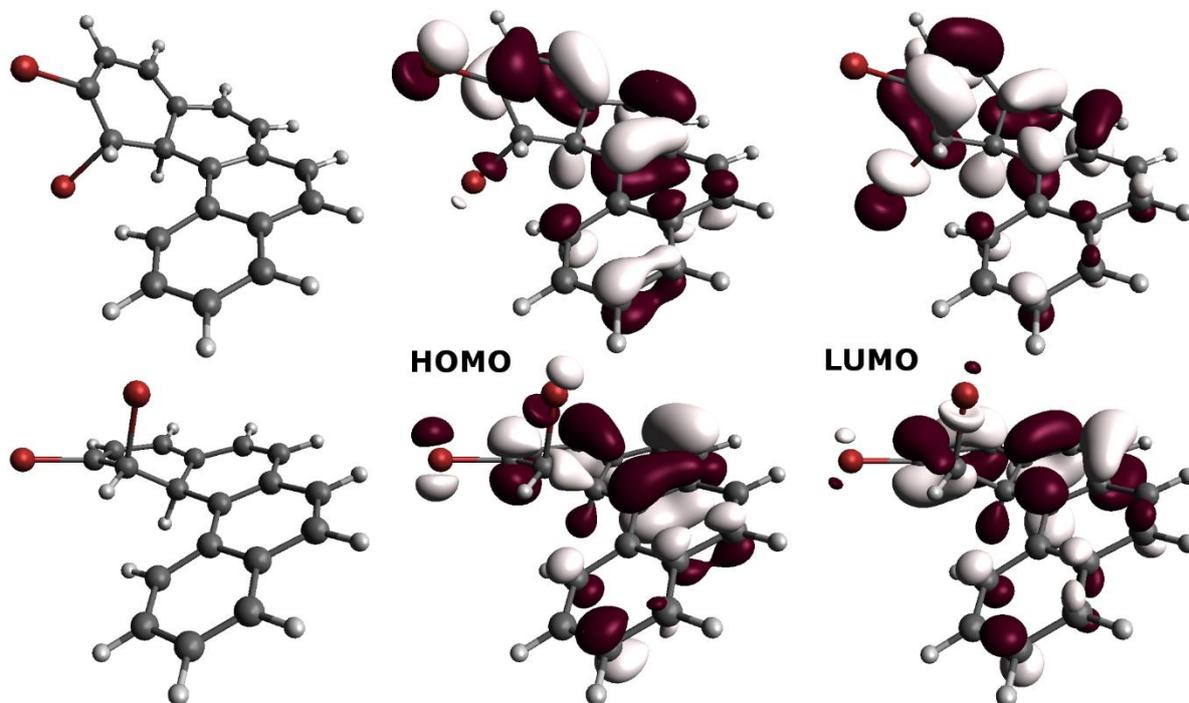


Figure 5. Frontier molecular orbitals after a suprafacial (top) and antarafacial (bottom) [1,9]-H sigmatropic rearrangement for the dibromo **DHS** intermediate.

3. Conclusions

In conclusion, we have demonstrated for the first time throughout a combined experimental/theoretical study involving the oxidative photocyclisation of 3,4-dihalostyrylnaphthalenes towards [4]helicene derivatives that a hitherto unrevealed [1,9]-H sigmatropic transposition takes place prior to the re-aromatization of dihydrohelicene intermediates. This rearrangement, energetically favored for the entire series including the non-halogenated compound, explains here the experimental formation of mono-bromo and mono-chloro [4]helicenes. The competition between formal HX and H₂ elimination from the transposed dihalogenated dihydrohelicenes is very likely triggered by the nucleofuge ability of the three halogens following the order Br > Cl > F, thus explaining the exclusive formation of mono-bromo derivative together with 2,3-dibromo-[4]helicene, the mixture of mono-chloro and two isomeric 1,2- and 2,3-dichloro-[4]helicenes and, finally, the mixture of 1,2- and 2,3-difluoro-[4]helicenes. This study, besides the preparation of new dihalo-[4]helicene compounds, sheds light on an unknown important aspect of the Mallory reaction, applied here to the helicene synthesis, which can explain “unexpected” regioselectivity and reactivity issues. Accordingly, we postulate that such [1,9]-H sigmatropic transpositions providing much more stable dihydro intermediates is a general feature of the Mallory reaction which has been overlooked so far.

4. Experimental

4.1 Materials and methods

Reactions were carried out under nitrogen, dry solvents were obtained from distillation machines. Nuclear magnetic resonance spectra were recorded on a Bruker Avance DRX 300 spectrometer operating at 300 MHz for ¹H and 76 MHz for ¹³C. Chemical shifts are expressed in parts per million (ppm) downfield from external TMS. The following abbreviations are used: s, singlet; d, doublet; dq, doublet of quadruplets; m, massif. MALDI- TOF MS spectra were recorded on Bruker Biflex-IIIITM apparatus, equipped with a 337 nm N₂ laser. Elemental analysis were recorded using Flash 2000 Fisher Scientific Thermo Electron analyzer.

4.2 Synthesis

2-(3,4-dibromostyryl)naphthalene (1a): In a flask under argon atmosphere the phosphonium salt **3** (4.0 g, 8.27 mmol, 1 equiv) was dissolved in 80 mL of distilled THF and cooled at -78 °C. Then, *n*-BuLi (3.3 mL, 8.69 mmol, 2.6 M in hexane, 1.05 equiv) was added giving an orange solution. After 15 minutes at -78 °C the solution was left to warm at RT until the mixture

became red. Then, it was cooled at $-78\text{ }^{\circ}\text{C}$ and the 3,4-dibromobenzaldehyde (2.2 g, 8.27 mmol, 1 equiv) was added. After 15 minutes at $-78\text{ }^{\circ}\text{C}$, it was left to warm at RT for 3 h. The mixture was then concentrated and purified by chromatography with petroleum ether as eluent. 3.15 g (98 % yield) of **1a** (*cis/trans* mixture) were obtained as a white powder. ^1H NMR (300 MHz, Chloroform-*d*): *cis* $\delta = 7.73 - 7.82$ (m, 3H), 7.69 (d, 1H, $J = 8.6$ Hz), 7.57 (sd, 1H, $J = 1.8$ Hz), 7.44 - 7.50 (m, 2H), 7.41 (d, 1H, $J = 8.3$ Hz), 7.31 (dd, 1H, $J = 8.5, 1.6$ Hz), 7.06 (dd, 1H, $J = 8.2, 1.9$ Hz), 6.84 (d, 1H, $J = 12.2$ Hz), 6.53 (d, 1H, $J = 12.2$ Hz). *trans* $\delta = 7.82 - 7.87$ (m, 6H), 7.72 (dd, 1H, $J = 8.6, .5$ Hz), 7.61 (d, 1H, $J = 8.2$ Hz), 7.47 - 7.51 (m, 2H), 7.36 (dd, 1H, $J = 8.3, 2.0$ Hz), 7.28 (d, 1H, $J = 16.3$ Hz), 7.11 (d, 1H, $J = 16.3$ Hz). ^{13}C NMR (76 MHz, Chloroform-*d*): *cis* $\delta = 138.1, 134.0, 133.9, 133.5, 133.3, 132.8, 132.2, 129.0, 128.2, 128.0, 127.9, 127.8, 127.7, 126.5, 126.3, 124.7, 123.2$. *trans* $\delta = 138.0, 134.0, 133.8, 133.4, 133.3, 132.7, 132.1, 128.9, 128.2, 128.0, 127.80, 127.75, 127.7, 126.4, 126.2, 124.6, 123.1$. MS (EI) $m/z = 385.8$ (M^+), 305.9 ($[\text{M}-\text{Br}]^+$), 228.0 ($[\text{M}-2\text{Br}]^+$); calculated = 385.93.

2-(3,4-difluorostyryl)naphthalene (1b): In a 50 mL flask under argon was dissolved **3** (2.0 g, 4.14 mmol, 1 equiv) in dry THF (25 mL). At $-78\text{ }^{\circ}\text{C}$, *n*-BuLi (2.59 mL, 4.14 mmol, 1.6 M in hexane, 1.05 equiv) was slowly added and the mixture turned from white to red. After 15 min stirring, the mixture reached the RT and was stirred for additional 15 min. The mixture was then cooled down at $-78\text{ }^{\circ}\text{C}$ and 3,4-difluorobenzaldehyde (0.59 g, 4.14 mmol, 1 equiv) was added. The mixture was stirred 15 min and turned to pale yellow and was then allowed to reach the RT and kept for 1 h. The crude product was filtered off through a Celite[®] pad and rinsed with THF. After evaporation of THF, the crude was purified *via* chromatography on silica gel column (petroleum ether/ CH_2Cl_2 , 9/1 as eluent, $R_f = 0.63$ and 0.5). 0.97 g (88 % yield) of **1b** (*cis/trans* mixture) were obtained as a white powder. ^1H NMR (300 MHz, Chloroform-*d*): $\delta = 7.87 - 7.77$ (m, 3H), 7.77 - 7.66 (m, 2H), 7.52 - 7.43 (m, 1H), 7.38 (ddd, $J = 11.7, 7.6, 2.1$ Hz, 1H), 7.30 (dd, $J = 8.5, 1.7$ Hz, 1H), 7.16 (d, $J = 5.7$ Hz, 1H), 7.12 - 7.03 (m, 1H), 7.02 - 6.94 (m, 1H), 6.80 (d, $J = 12.2$ Hz, 1H), 6.57 (d, $J = 12.2$ Hz, 1H). ^{19}F NMR (283 MHz, Chloroform-*d*): $\delta = -137.67$ (d, $J = 20.7$ Hz), -137.99 (d, $J = 21.2$ Hz), -138.74 (d, $J = 21.3$ Hz), -139.16 (d, $J = 21.4$ Hz). ^{13}C NMR (76 MHz, Chloroform-*d*): $\delta = 152.41$ (d, $J = 12.3$ Hz), 151.94, 151.74 (d, $J = 5.6$ Hz), 151.54, 151.23 (d, $J = 12.6$ Hz), 149.13 (d, $J = 12.3$ Hz), 148.66, 148.41, 148.24, 148.02, 147.85, 134.82, 134.24, 133.78, 133.57, 133.33, 132.82, 131.45, 130.00, 128.60, 128.46, 128.21, 128.09, 127.95, 127.84, 127.10, 126.94, 126.70, 126.62, 126.36, 126.31, 125.38, 122.91 (dd, $J = 6.1, 3.3$ Hz), 117.77, 117.49, 117.18 (d, $J = 17.2$ Hz), 114.78 (d, $J = 17.8$ Hz). MS (EI) $m/z = 266.098$; calculated = 266.09

2-(3,4-dichlorostyryl)naphthalene (1c): In a 50 mL flask under argon was dissolved **3** (2.0 g, 4.14 mmol, 1 equiv) in dry THF (25 mL). At -78 °C, *n*-BuLi (2.59 mL, 4.14 mmol, 1.6 M in hexane, 1.05 equiv) was slowly added and the mixture turned from white to red. After 15 min stirring, the mixture reached the RT and was stirred for additional 15 min. The mixture was then cooled down at -78 °C and 3,4-dichlorobenzaldehyde (0.72 g, 4.14 mmol, 1 equiv) was added. The mixture was stirred 15 min and turned to pale yellow and was then allowed to reach the RT and kept for 1 h. The crude product was filtered off through a celite[®] pad and rinsed with THF. After evaporation of the THF, the crude was purified *via* chromatography on silica gel column (petroleum ether/ CH₂Cl₂, 9/1 as eluent, R_f = 0.68 and 0.58). 1.02 g (82 % yield) of **1c** (*cis/trans* mixture) were obtained as a white powder. ¹H NMR (300 MHz, Chloroform-*d*): δ = 7.86 – 7.62 (m, 9H), 7.50 – 7.43 (m, 5H), 7.39 – 7.36 (m, 2H), 7.31f – 7.28 (m, 1H), 7.23 (d, *J* = 3.2 Hz, 1H), 7.14 (s, 1H), 7.07 (d, *J* = 8.3 Hz, 1H), 6.83 (d, *J* = 12.2 Hz, 1H), 6.55 (d, *J* = 12.2 Hz, 1H). ¹³C NMR (76 MHz, Chloroform-*d*): δ = 137.56 (d, *J* = 17.9 Hz), 134.10 (d, *J* = 9.9 Hz), 133.75, 133.48 (d, *J* = 11.2 Hz), 132.94 (d, *J* = 9.0 Hz), 132.47, 132.18, 131.29, 131.10, 130.93, 130.79 (d, *J* = 5.4 Hz), 130.28, 128.64, 128.36 (d, *J* = 4.1 Hz), 128.24, 128.09 (d, *J* = 5.8 Hz), 127.97, 127.86 (d, *J* = 3.7 Hz), 127.36, 126.64 (d, *J* = 2.4 Hz), 126.55, 125.80, 123.43. MS (EI) *m/z* = 298.0310; calculated = 298.0316.

2,3-dibromo-[4]-helicene, (H4Br₂) (2a): Stilbene **1a** (0.7 g, 1.80 mmol, 1 equiv) and iodine (0.46 g, 1.80 mmol, 1 equiv) were dissolved in toluene (750 mL). The solution was degassed and propylene oxide (6.3 mL, 90 mmol, 50 equiv) was added. The mixture was irradiated for 4 h with a Hg lamp (150 W). The procedure was repeated several times for a total of 4.1 g of stilbene compound **1a**. Afterwards, the united crudes were concentrated and purified *via* chromatography on silica gel column (petroleum ether as eluent). 3.0 g (74 % yield) of **2a** were obtained as a yellow oil. NB: The desired product is mixed with the monobrominated derivative (3-bromo-[4]-helicene **4a**) (2:1 ratio). ¹H NMR (300 MHz, Chloroform-*d*): δ = 9.31 (s, 1H), 8.90 (d, *J* = 9.01 Hz, 1H), 8.21 (s, 1H), 8.02 (d, 1H, *J* = 9.1 Hz), 7.90 (d, 1H, *J* = 9.1 Hz), 7.80-7.62 (m, 5H). ¹³C NMR (76 MHz, Chloroform-*d*): δ = 133.6, 133.5, 133.3, 132.5, 132.4, 131.9, 131.5, 131.4, 131.3, 130.12, 130.08, 129.99, 129.8, 129.0, 128.8, 128.7, 128.42, 128.39, 128.31, 128.1, 127.31, 127.30, 127.1, 127.0, 126.9, 126.7, 126.62, 126.55, 126.34, 126.27, 126.1, 126.0, 125.9, 125.8, 122.4, 121.7, 120.6. MS (MALDI-TOF) *m/z* = 383.9 (M⁺); calculated = 383.91 (**2a**). MS (MALDI-TOF) *m/z* = 306.0 (M⁺); calculated = 306.00 (**4a**).

2-bromobenzo[*c*]phenanthrene (4a): A mixture of (*E*)- and (*Z*)-1-(*p*-bromophenyl)-2-(2-naphthyl)ethylene^[39,40] (0.6 g, 1.94 mmol, 1 equiv) and iodine (0.49 g, 1.94 mmol, 1 equiv) were dissolved in toluene (650 mL). The solution was degassed for 15 min, and then propylene

oxide (6.79 mL, 97 mmol, 50 equiv) was added. The mixture thus obtained was irradiated under stirring for 16 h with a Hg lamp (150 W). The synthesis was replicated in several batches, for a total amount of 1.72 g of stilbene compound. After evaporation of toluene, the crude was purified by chromatography over silica gel column (petroleum ether/CH₂Cl₂, 9/1, R_f = 0.45). 1.26 g (74 % yield) of **4a** were obtained as a light yellow powder.

The spectral data for this compound match those reported in the literature.^[40]

2,3-difluoro-[4]-helicene & 1,2-difluoro-[4]-helicene (2b/2b'): Stilbene **1b** (0.57 g, 2.14 mmol, 1 equiv) and iodine (0.54 g, 2.14 mmol, 1 equiv) were dissolved in toluene (650 mL). The solution was degassed for 15 min, and then propylene oxide (6.84 mL, 107 mmol, 50 equiv) was added. The mixture thus obtained was irradiated under stirring for 16 h with a Hg lamp (150 W). The synthesis was replicated in two batches, for a total amount of 0.97 g of stilbene compound **1b**. After evaporation of toluene, the crude was purified by chromatography over silica gel column (petroleum ether/ CH₂Cl₂, 9/1, R_f = 0.45 and 0.47). 0.63 g (65 % yield) of **2b/2b'** were obtained as a white powder. A second purification by chromatography over silica gel column petroleum ether 100 % allowed us to separate **2b** and **2b'**. Slow evaporation of **2b** in CH₂Cl₂ afforded crystals suitable for X-ray analysis. **2b**: ¹H NMR (300 MHz, Chloroform-*d*): δ = 8.98 (d, *J* = 8.0 Hz, 1H), 8.94 – 8.86 (m, 1H), 8.03 (dd, *J* = 7.8, 1.7 Hz, 1H), 7.92 (d, *J* = 8.5 Hz, 1H), 7.82 (d, *J* = 2.9 Hz, 2H), 7.81 – 7.77 (m, 1H), 7.76 – 7.69 (m, 2H), 7.69 – 7.62 (m, 1H). ¹⁹F NMR (283 MHz, Chloroform-*d*): δ = -137.33 (d, *J* = 22.4 Hz), -138.24 (d, *J* = 21.6 Hz). ¹³C NMR (76 MHz, Chloroform-*d*): δ = 150.1 (dd, *J* = 232.9 Hz, *J* = 13.8 Hz), 149.4 (dd, *J* = 251.8 Hz, *J* = 14.6 Hz) 133.65, 131.08, 130.81 (d, *J* = 8.5 Hz), 130.09, 128.93, 128.08, 127.58, 127.25 (d, *J* = 6.9 Hz), 127.20 – 126.93 (m), 126.89, 126.82, 126.40, 115.23 (d, *J* = 19.5 Hz), 114.75 (d, *J* = 16.0 Hz). **2b'**: ¹H NMR (300 MHz, Chloroform-*d*): δ = 8.37 – 8.25 (m, 1H), 8.05 – 7.96 (m, 2H), 7.88 – 7.73 (m, 4H), 7.70 – 7.60 (m, 2H), 7.53 (td, *J* = 9.2, 7.2 Hz, 1H). ¹⁹F NMR (283 MHz, Chloroform-*d*): δ = -126.30 (d, *J* = 19.3 Hz), -138.88 (d, *J* = 19.3 Hz). ¹³C NMR (76 MHz, Chloroform-*d*): δ = 148.9 (dd, *J* = 248.4 Hz, *J* = 14.8 Hz), 146.8 (dd, *J* = 256 Hz, *J* = 13.7 Hz), 132.90, 132.25, 131.19, 130.01, 129.40, 129.20, 127.60, 127.03, 126.80, 126.31, 125.98, 125.42, 124.32, 120.21 (d, *J* = 9.6 Hz), 116.22 (d, *J* = 19.9 Hz). MS (EI) *m/z* = 264.0746; calculated = 264.0751.

2,3-dichloro-[4]-helicene, 1,2-dichloro-[4]-helicene & 3-chloro-[4]-helicene (2c/2c'/4c): Stilbene **1c** (0.6 g, 2.01 mmol, 1 equiv) and iodine (0.53 g, 2.11 mmol, 1.05 equiv) were dissolved in toluene (650 mL). The solution was degassed for 15 min, and then propylene oxide (7.02 mL, 100 mmol, 50 equiv) was added. The mixture thus obtained was irradiated under stirring for 16 h with a Hg lamp (150 W). The synthesis was replicated in two batches, for a

total amount of 1.03 g of stilbene compound. After evaporation of toluene, the crude was purified by chromatography over silica gel column (petroleum ether/CH₂Cl₂, 9/1, R_f = 0.5). 0.63 g (90 % yield) of **2c/2c'/4c** were obtained as a white powder. The separation of these three compounds turned out to be very difficult. ¹H NMR (300 MHz, Chloroform-*d*): δ = 8.10 (s, 1H), 8.04 (dd, *J* = 7.9, 1.5 Hz, 1H), 7.94 (d, *J* = 8.5 Hz, 1H), 7.87 (s, 0H), 7.83 (d, *J* = 5.0 Hz, 1H), 7.79 (s, 1H), 7.78 – 7.76 (m, 1H), 7.75 (t, *J* = 1.5 Hz, 1H), 7.72 (d, *J* = 1.6 Hz, 0.3H), 7.69 (d, *J* = 1.3 Hz, 0.3H), 7.67 (s, 0.4H), 7.64 (d, *J* = 1.2 Hz, 0.2H). ¹³C NMR (76 MHz, Chloroform-*d*): δ = 133.75, 132.86, 131.51, 130.63, 130.01, 129.57, 129.34 (d, *J* = 5.0 Hz), 128.96, 128.51 (d, *J* = 5.1 Hz), 127.29, 127.03, 126.76, 126.52, 126.04. MS (EI+) *m/z* = 296.0160; calculated = 296.0160 (**2c/2c'**). MS (EI+) *m/z* = 260.0510; calculated = 262.0544 (**4c**).

(naphthalen-2-ylmethyl)triphenylphosphonium bromide (3): In a 500 mL flask triphenylphosphine (17.8 g, 67.9 mmol, 1 equiv) and 2-bromomethylnaphthalene (15 g, 67.9 mmol, 1 equiv) were dissolved in xylene (340 mL). Then the mixture was stirred at reflux for 3 h. After cooling down to room temperature, the white precipitate was filtered off, rinsed with cold diethyl ether and dried under vacuum. The phosphonium salt **3** was obtained as a white powder 31.16 g (95 % yield). ¹H NMR (300 MHz, Chloroform-*d*): δ = 7.82 – 7.67 (m, 10H), 7.65 – 7.50 (m, 9H), 7.40 (d, *J* = 8.4 Hz, 2H), 7.17 (dt, *J* = 8.3, 1.8 Hz, 1H), 5.61 (d, *J* = 14.5 Hz, 2H). ³¹P NMR (122 MHz, Chloroform-*d*): δ = 22.95 (s). ¹³C NMR (76 MHz, Chloroform-*d*): δ = 135.01 (d, *J* = 3.0 Hz), 134.53 (d, *J* = 9.8 Hz), 133.00 (d, *J* = 3.5 Hz), 132.72 (d, *J* = 2.8 Hz), 131.30 (d, *J* = 7.3 Hz), 130.19 (d, *J* = 12.5 Hz), 128.70 (d, *J* = 4.3 Hz), 128.45 (d, *J* = 2.7 Hz), 127.94, 127.62, 126.61, 126.43, 124.58 (d, *J* = 9.1 Hz), 118.51, 117.37, 31.11 (d, *J* = 46.6 Hz). FAB+ *m/z* (-Br) = 403.1612; calculated = 403.1610.

The spectral data for this compound match those reported in the literature.^[23]

2-(4,5-dibromo-2-methylstyryl)naphthalene (5): In a flask under argon atmosphere the phosphonium salt **3** (0.7 g, 1.45 mmol, 1 equiv) was dissolved in distilled THF (15 mL) and cooled at -78 °C. Then, *n*-BuLi (0.6 mL, 1.56 mmol, 2.6 M in hexane 1.05 equiv) was added giving an orange solution. After 15 minutes at -78 °C the solution was left to warm at r.t. until the mixture became red. Then, it was cooled at -78 °C and 4,5-dibromo-2-methylbenzaldehyde (0.4 g, 1.45 mmol, 1 equiv), synthesized from a known procedure,^[41] was added. After 15 minutes at -78 °C, it was left to warm at r.t. for 3 h. The mixture was concentrated and purified by chromatography (petroleum ether as eluent) leading to 0.56 g (90 % yield) of **5** (*cis/trans* mixture) obtained as a white powder. ¹H NMR (300 MHz, Chloroform-*d*): δ = *cis/trans* mixture 3:1 : 7.82-7.88 (m, 1.8H), 7.71-7.78 (m, 2.4H), 7.64 (s, 1H, *cis*), 7.62 (d, 1H, *cis*), 7.42-7.52 (m, 4.9H), 7.28 (d, 0.3H, *J*_{*trans*} = 16.2 Hz), 7.16 (d, 0.3H, *J*_{*trans*} = 16.2 Hz), 7.15 (dd, 1H, *J* = 8.5,

1.5 Hz, *cis*), 6.84 (d, 1H, $J_{cis} = 12.2$ Hz), 6.54 (d, 1H, $J_{cis} = 12.2$ Hz), 2.40 (s, 1H, CH₃ *trans*), 2.20 (s, 3H, CH₃ *cis*). MS (MALDI-TOF) $m/z = 400.10$ (M⁺); calculated = 399.95.

2-bromo-4-methyl-[4]-helicene (6): Stilbene **5** (0.71 g, 1.77 mmol, 1 equiv) and iodine (0.45 g, 1.77 mmol, 1 equiv) were dissolved in toluene (750 mL). The solution was degassed and propylene oxide (6.2 mL, 88.5 mmol, 50 equiv) was added. The mixture was irradiated for 4 h. Afterwards, the crude was concentrated and purified by chromatography (petroleum ether 100 %). The target product **7** was not obtained, instead the 2-bromo-4-methyl-[4]-helicene **6** was identified. ¹H NMR (300 MHz, Chloroform-*d*): $\delta = 9.16$ (s, 1H), 9.04 (d, 1H, $J = 8.5$ Hz), 8.07 (dd, 1H, $J = 8.8, 0.6$ Hz), 8.07 (dd, 1H, $J = 7.9, 1.5$ Hz), 7.94 (d, 1H, $J = 8.5$ Hz), 7.88 (d, 1H, $J = 8.8$ Hz), 7.84 (d, 1H, $J = 8.6$ Hz), 7.76 (td, 1H, $J = 1.3$ Hz), 7.68 (td, 1H, $J = 1.3$ Hz), 7.63 (br s, 1H), 2.3 (s, 3H). MS (MALDI-TOF) $m/z = 320.1$ (M⁺); calculated = 320.02.

4.3. X-Ray structure determinations

Details about data collection and solution refinement are given in Table S1. A single crystal of **1a** was mounted on glass fibre loop using a viscous hydrocarbon oil to coat the crystal and then transferred directly to cold nitrogen stream for data collection. Data collection was performed at 293 K on an Agilent Supernova with CuK α ($\lambda = 1.54184$ Å). The structure was solved by direct methods with the SIR92 program and refined against all F^2 values with the SHELXL-97 program using the WinGX graphical user interface.

All non-H atoms were refined anisotropically. Hydrogen atoms were introduced at calculated positions (riding model), included in structure factor calculations but not refined.

Crystallographic data for the structure have been deposited with the Cambridge Crystallographic Data Centre, deposition numbers CCDC 2099575 (**1a**). These data can be obtained free of charge from CCDC, 12 Union road, Cambridge CB2 1EZ, UK (e-mail: deposit@ccdc.cam.ac.uk or <http://www.ccdc.cam.ac.uk>).

4.4 Computational details

All calculations have been performed with the Gaussian09 program.^[42] For all molecules the gas phase ground state geometries have been optimized, without forcing any symmetry, by the Density Functional Theory method with the hybrid PBE0 functional (with 25% of exact exchange)^[43,44] and the augmented and polarized Pople type basis set 6-311++G(2df,2pd). We have then verified by a frequency calculation that the stationary point correspond to a global minimum on the potential energy surface. The gas phase excited states energies of the stilbenes have been determined at the same level of theory as the ground states by a linear response Time-

Dependent DFT method considering the first fifteen singlet to singlet excitations. Molecular orbitals (MO), density difference plots (between ground and excited state) pictures have been generated by quchemreport, a homemade automated quality control and report generation python program based on cclib.^[45,46] The calculated bar spectra have been enlarged with a gaussian shape (FWHM = 3000 cm⁻¹) with quchemreport to compare with the experiment.

Conflicts of interest

There are no conflicts to declare.

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